Clear Street - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2021

October 2021

S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

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October 2021

Non-S&P 500 Stocks
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Material Aspects:

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October 2021

Options
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	100.00	0.00

Venues

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DFIN	100.00	0.00	0.00	100.00	0.00	0.0000	0.0000	0.0000	0.0000	0.2400	0.0300	0.0000	0.0000

Material Aspects:

DFIN:

DFIN is an options electronic broker. Clear Street routes held and not held options orders to DFIN which are executed on unknown market centers. DFIN charges Clear Street a standard commission which is based on a tier pricing schedule using a cost plus model. This commission is typically $0.05 per contract. Clear Street also pays exchange, regulatory and market data fees. Where applicable, Clear Street receives exchange rebates. To the extent that exchange rebates exceed the other execution costs, Clear Street is a net receiver of payment from DFIN.

November 2021

S&P 500 Stocks
Summary

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Material Aspects:

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November 2021

Non-S&P 500 Stocks
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Material Aspects:

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November 2021

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DFIN	100.00	0.00	100.00	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

DFIN:
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December 2021

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Material Aspects:

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December 2021

Non-S&P 500 Stocks

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Material Aspects:

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December 2021

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Material Aspects: