# Clear Street - Held NMS Stocks and Options Order Routing Public Report Generated on Fri Jul 29 2022 05:34:49 GMT-0400 (Eastern Daylight Time)

2nd Quarter, 2022

# April 2022

### S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
--	--------------------------------	-------------------------	-----------------------------------	---	------------------------	---	---	--	--	---	---	--	--

# April 2022

### Non-S&P 500 Stocks

### Summary

Non-Directed Order as % of All Order		Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
(	0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)  Market Orders (%)	Marketable Limit Orders (%)	Limit Orders		Other riders Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
--	---	-----------------------------------	--------------	--	---	---	--	--	---	---	--	--

# April 2022

### **Options**

### Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
1	100.00	0.00	32.50	67.50	0.00

### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
DASHOPT	85.25	0.00	70.77	92.22	0.00	0.0000	0.0000	2.5897	0.0417	2.2537	0.0404	0.0000	0.0000
M-MEXD	6.25	0.00	13.85	2.59	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-PUMA	3.50	0.00	6.92	1.85	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-BAYC	3.00	0.00	6.92	1.11	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-BVIEW	1.75	0.00	1.54	1.85	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-PBON	0.25	0.00	0.00	0.37	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

### Material Aspects:

DASHOPT

Clear Street pays exchange, regulatory, market data and clearing fees to DASHOPT and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-MEXD:

Clear Street pays exchange, regulatory, market data and clearing fees to M-MEXD and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-PUMA

Clear Street pays exchange, regulatory, market data and clearing fees to M-PUMA and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-BAYC:

Clear Street pays exchange, regulatory, market data and clearing fees to M-BAYC and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-BVIEW:

Clear Street pays exchange, regulatory, market data and clearing fees to M-BVIEW and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-PBON

Clear Street pays exchange, regulatory, market data and clearing fees to M-PBON and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

# May 2022

### S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
--	--------------------------------	-------------------------	-----------------------------------	---	------------------------	---	---	--	--	---	---	--	--

May 2022

# Non-S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
--	--------------------------------	-------------------------	-----------------------------------	---	------------------------	---	---	--	--	---	---	--	--

\_\_\_\_\_\_

# May 2022

# **Options**

### Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
1	100.00	0.00	28.88	71.12	0.00

### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
DASHOPT	94.19	0.00	88.59	96.46	0.00	0.0000	0.0000	38.0811	0.0880	6.8197	0.0811	0.0000	0.0000
M-MEXD	2.62	0.00	4.70	1.77	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-BAYC	0.78	0.00	2.01	0.27	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-PUMA	0.58	0.00	1.34	0.27	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
M-MAGS	0.58	0.00	0.67	0.54	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-ADAM	0.48	0.00	0.34	0.54	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-TFAS	0.29	0.00	0.67	0.14	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-PBON	0.19	0.00	0.67	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-BVIEW	0.10	0.00	0.34	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-GARC	0.10	0.00	0.34	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

### Material Aspects:

DASHOPT:

Clear Street pays exchange, regulatory, market data and clearing fees to DASHOPT and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-MEXD

Clear Street pays exchange, regulatory, market data and clearing fees to M-MEXD and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-BAYC:

Clear Street pays exchange, regulatory, market data and clearing fees to M-BAYC and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-PUMA:

Clear Street pays exchange, regulatory, market data and clearing fees to M-PUMA and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-MAGS

Clear Street pays exchange, regulatory, market data and clearing fees to M-MAGS and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-ADAM:

Clear Street pays exchange, regulatory, market data and clearing fees to M-ADAM and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-TFAS:

Clear Street pays exchange, regulatory, market data and clearing fees to M-TFAS and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-PBON

Clear Street pays exchange, regulatory, market data and clearing fees to M-PBON and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-BVIEW:

Clear Street pays exchange, regulatory, market data and clearing fees to M-BVIEW and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-GARC:

Clear Street pays exchange, regulatory, market data and clearing fees to M-GARC and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

### June 2022

### S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)	
--	--------------------------------	-------------------------	-----------------------------------	---	------------------------	---	---	--	--	---	---	--	--	--

# June 2022

# Non-S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non- directed Order Flow	Non- Marke Directed Order Orders (%) (%)			Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
--	--	--	--	------------------------	---	---	--	--	---	---	--	--

# June 2022

# **Options**

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	26.73	73.27	0.00

### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
DASHOPT	91.32	0.00	82.48	94.54	0.00	0.0000	0.0000	58.3390	0.3034	40.7286	0.2914	0.0000	0.0000
M-MEXD	3.41	0.00	7.66	1.86	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-PUMA	2.63	0.00	4.74	1.86	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-MAGS	0.78	0.00	1.46	0.53	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-BAYC	0.68	0.00	1.09	0.53	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-BVIEW	0.68	0.00	2.55	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
M-FOGS	0.20	0.00	0.00	0.27	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-ADAM	0.20	0.00	0.00	0.27	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
CITADELLT	0.10	0.00	0.00	0.13	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

### Material Aspects:

DASHOPT:

Clear Street pays exchange, regulatory, market data and clearing fees to DASHOPT and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-MEXI

Clear Street pays exchange, regulatory, market data and clearing fees to M-MEXD and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-PUMA:

Clear Street pays exchange, regulatory, market data and clearing fees to M-PUMA and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-MAGS:

Clear Street pays exchange, regulatory, market data and clearing fees to M-MAGS and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-BAYC:

Clear Street pays exchange, regulatory, market data and clearing fees to M-BAYC and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-BVIEW:

Clear Street pays exchange, regulatory, market data and clearing fees to M-BVIEW and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-FOGS:

Clear Street pays exchange, regulatory, market data and clearing fees to M-FOGS and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-ADAM

Clear Street pays exchange, regulatory, market data and clearing fees to M-ADAM and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

CHADELLI

Clear Street pays exchange, regulatory, market data and clearing fees to CITADELLT and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met