# Clear Street - Held NMS Stocks and Options Order Routing Public Report Generated on Thu Apr 28 2022 07:59:59 GMT-0400 (Eastern Daylight Time)

1st Quarter, 2022

# January 2022

## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

directed Orders (%) (%) (%) Order Flow	(%) (%) Limit Orders (%) (%)	Market Orders(USD)	Orders(cents per hundred shares)	Marketable Limit Orders(USD)	Marketable Limit Orders(cents per hundred shares)	Marketable Limit Orders(USD)	Marketable Limit Orders(cents per hundred shares)	Other Orders(USD)	Orders(cents per hundred shares)
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Material Aspects:

# January 2022

## Non-S&P 500 Stocks

## Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
I	0.00	0.00	0.00	0.00	0.00

#### Venues

Venue - Non- directed Order Flow	Non- Directed Orders Orders (%) (%)	Marketable Limit Orders (%)		Non- Marketable Ord Limit Orders (%)		Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Material Aspects:

# January 2022

## **Options**

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.38	50.48	49.14	0.00

#### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
DASHOPT	85.33	100.00	87.17	83.33	0.00	0.0000	0.0000	4,352.4600	13.7946	3,810.5200	13.7823	0.0000	0.0000
M-MEXD	7.90	0.00	6.98	8.91	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-PUMA	2.38	0.00	3.21	1.55	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-ADAM	1.71	0.00	1.32	2.13	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-DASH	0.76	0.00	0.38	1.16	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-MAGS	0.76	0.00	0.19	1.36	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-PBON	0.67	0.00	0.57	0.78	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-IAEX	0.29	0.00	0.19	0.39	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-BAYC	0.19	0.00	0.00	0.39	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

#### Material Aspects:

DASHOP1

Clear Street pays exchange, regulatory, market data and clearing fees to DASHOPT and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

#### M-MEXD

Clear Street pays exchange, regulatory, market data and clearing fees to M-MEXD and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

#### M-PUMA:

Clear Street pays exchange, regulatory, market data and clearing fees to M-PUMA and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

#### M-ADAM:

Clear Street pays exchange, regulatory, market data and clearing fees to M-ADAM and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

#### M-DASH:

Clear Street pays exchange, regulatory, market data and clearing fees to M-DASH and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

#### M-MAGS:

Clear Street pays exchange, regulatory, market data and clearing fees to M-MAGS and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

#### M-PBON:

Clear Street pays exchange, regulatory, market data and clearing fees to M-PBON and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

#### M-IAEX:

Clear Street pays exchange, regulatory, market data and clearing fees to M-IAEX and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

#### M-BAYC:

Clear Street pays exchange, regulatory, market data and clearing fees to M-BAYC and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

# February 2022

# S&P 500 Stocks

# Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

## Venues

Venue - Non- directed Order Flow			Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Material Aspects:

# February 2022

# Non-S&P 500 Stocks

# Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

# Venues

Venue - Non- directed Order Flow	Non- Directed Orders Orders (%) (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Material Aspects:

# February 2022

# Options Summary

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Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	1.57	41.57	56.86	0.00

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
DASHOPT	70.59	100.00	63.68	74.83	0.00	0.0000	0.0000	4,918.5000	29.7532	2,332.2000	29.1853	0.0000	0.0000
M-MEXD	16.27	0.00	22.64	12.07	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-PUMA	9.02	0.00	8.96	9.31	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-ADAM	1.96	0.00	0.94	2.76	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-TFAS	0.78	0.00	1.89	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-MAGS	0.59	0.00	0.47	0.69	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-BV	0.39	0.00	0.94	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-DASH	0.20	0.00	0.00	0.34	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-TFSD	0.20	0.00	0.47	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

#### Material Aspects:

Clear Street pays exchange, regulatory, market data and clearing fees to DASHOPT and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

Clear Street pays exchange, regulatory, market data and clearing fees to M-MEXD and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

Clear Street pays exchange, regulatory, market data and clearing fees to M-PUMA and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

Clear Street pays exchange, regulatory, market data and clearing fees to M-ADAM and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-TFAS:

Clear Street pays exchange, regulatory, market data and clearing fees to M-TFAS and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

Clear Street pays exchange, regulatory, market data and clearing fees to M-MAGS and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

Clear Street pays exchange, regulatory, market data and clearing fees to M-BV and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-DASH:

Clear Street pays exchange, regulatory, market data and clearing fees to M-DASH and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-TFSD:

Clear Street pays exchange, regulatory, market data and clearing fees to M-TFSD and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

# March 2022

### S&P 500 Stocks

#### Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
1	0.00	0.00	0.00	0.00	0.00

Venues

Flow		Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Material Aspects:

# March 2022

# Non-S&P 500 Stocks

# Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

## Venues

	nue -	Non-	Market	Marketable	Non-	Other	Net Payment	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/	Net Payment	Net Payment Paid/
No	lon-	Directed	Orders	Limit Orders	Marketable	Orders	Paid/Received for	Received for Market	Received for	Received for	Received for Non-	Received for Non-	Paid/Received for	Received for Other
dire	ected	Orders (%)	(%)	(%)	Limit Orders	(%)	Market	Orders(cents per	Marketable Limit	Marketable Limit	Marketable Limit	Marketable Limit	Other	Orders(cents per
Or	rder	, ,	, ,	, ,	(%)		Orders(USD)	hundred shares)	Orders(USD)	Orders(cents per	Orders(USD)	Orders(cents per	Orders(USD)	hundred shares)
FI	low				, ,		, ,		, ,	hundred shares)	, ,	hundred shares)	` ,	ŕ

Material Aspects:

# March 2022

# Options Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
I	100.00	0.46	39.26	60.28	0.00

### Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
DASHOPT	77.76	100.00	80.86	75.57	0.00	0.0000	0.0000	765.7500	2.9759	296.9400	2.9126	0.0000	0.0000
M-PUMA	11.20	0.00	10.94	11.45	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-MEXD	6.29	0.00	4.69	7.38	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-PBON	1.84	0.00	2.73	1.27	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-BAYC	1.23	0.00	0.39	1.78	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
M-MAGS	1.07	0.00	0.39	1.53	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-TFSD	0.31	0.00	0.00	0.51	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M- DASHOPT	0.31	0.00	0.00	0.51	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

#### Material Aspects:

DASHOPT:

Clear Street pays exchange, regulatory, market data and clearing fees to DASHOPT and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-PUMA

Clear Street pays exchange, regulatory, market data and clearing fees to M-PUMA and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-MEXD

Clear Street pays exchange, regulatory, market data and clearing fees to M-MEXD and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-PBON:

Clear Street pays exchange, regulatory, market data and clearing fees to M-PBON and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-BAYC:

Clear Street pays exchange, regulatory, market data and clearing fees to M-BAYC and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-MAGS

Clear Street pays exchange, regulatory, market data and clearing fees to M-MAGS and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-TFSD:

Clear Street pays exchange, regulatory, market data and clearing fees to M-TFSD and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-DASHOPT:

Clear Street pays exchange, regulatory, market data and clearing fees to M-DASHOPT and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met