

Clear Street - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2022

January 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

January 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

January 2022

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.38	50.48	49.14	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
DASHOPT	85.33	100.00	87.17	83.33	0.00	0.0000	0.0000	4,352.4600	13.7946	3,810.5200	13.7823	0.0000	0.0000
M-MEXD	7.90	0.00	6.98	8.91	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-PUMA	2.38	0.00	3.21	1.55	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-ADAM	1.71	0.00	1.32	2.13	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-DASH	0.76	0.00	0.38	1.16	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-MAGS	0.76	0.00	0.19	1.36	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-PBON	0.67	0.00	0.57	0.78	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-IAEX	0.29	0.00	0.19	0.39	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-BAYC	0.19	0.00	0.00	0.39	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

DASHOPT:

Clear Street pays exchange, regulatory, market data and clearing fees to DASHOPT and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-MEXD:

Clear Street pays exchange, regulatory, market data and clearing fees to M-MEXD and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-PUMA:

Clear Street pays exchange, regulatory, market data and clearing fees to M-PUMA and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-ADAM:

Clear Street pays exchange, regulatory, market data and clearing fees to M-ADAM and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-DASH:

Clear Street pays exchange, regulatory, market data and clearing fees to M-DASH and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-MAGS:

Clear Street pays exchange, regulatory, market data and clearing fees to M-MAGS and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-PBON:

Clear Street pays exchange, regulatory, market data and clearing fees to M-PBON and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-IAEX:

Clear Street pays exchange, regulatory, market data and clearing fees to M-IAEX and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-BAYC:

Clear Street pays exchange, regulatory, market data and clearing fees to M-BAYC and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

February 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

February 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

February 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	1.57	41.57	56.86	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
DASHOPT	70.59	100.00	63.68	74.83	0.00	0.0000	0.0000	4,918.5000	29.7532	2,332.2000	29.1853	0.0000	0.0000
M-MEXD	16.27	0.00	22.64	12.07	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-PUMA	9.02	0.00	8.96	9.31	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-ADAM	1.96	0.00	0.94	2.76	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-TFAS	0.78	0.00	1.89	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-MAGS	0.59	0.00	0.47	0.69	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-BV	0.39	0.00	0.94	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-DASH	0.20	0.00	0.00	0.34	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-TFSD	0.20	0.00	0.47	0.00	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

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M-PUMA:

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M-ADAM:

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M-TFAS:

Clear Street pays exchange, regulatory, market data and clearing fees to M-TFAS and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-MAGS:

Clear Street pays exchange, regulatory, market data and clearing fees to M-MAGS and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-BV:

Clear Street pays exchange, regulatory, market data and clearing fees to M-BV and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-DASH:

Clear Street pays exchange, regulatory, market data and clearing fees to M-DASH and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-TFSD:

Clear Street pays exchange, regulatory, market data and clearing fees to M-TFSD and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

March 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
M-MAGS	1.07	0.00	0.39	1.53	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-TFSD	0.31	0.00	0.00	0.51	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
M-DASHOPT	0.31	0.00	0.00	0.51	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects:

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M-MAGS:

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M-TFSD:

Clear Street pays exchange, regulatory, market data and clearing fees to M-TFSD and receives exchange rebates as credits where applicable Clear Street also receives incremental pricing benefits if certain volume thresholds are met

M-DASHOPT:

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